



PTO/SB/01A (10-01)

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention**METHODS AND NUCLEIC ACIDS FOR THE ANALYSIS OF
COLORECTAL CELL PROLIFERATIVE DISORDERS**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/602,494, filed on June 23, 2003,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)Inventor one: Cathy Lofton-DayCitizen of: USSignature: Date: 7/7/03Inventor two: Andrew SledziewskiCitizen of: USSignature: Date: 6/30/03Inventor three: Jeff ThomasCitizen of: USSignature: Date: 6/30/03Inventor four: Robert W. DayCitizen of: USSignature: Date: 6/30/03

X Additional inventors are being named on 1 additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Page 2 of 2

FULL NAME OF INVENTOR(S)

Inventor five: Lori Tonnes-Priddy

Citizen of: US

Signature: Lori Tonnes-Priddy

Date: 6/30/03

Inventor six: Karen Cardon

Citizen of: US

Signature: Karen Cardon

Date: 6/30/03

Inventor seven: _____

Citizen of: _____

Signature: _____

Date: _____

Inventor eight: _____

Citizen of: _____

Signature: _____

Date: _____

☐ Additional inventors are being named on _____ additional form(s) attached hereto.



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Epigenomics AG

Application No./Patent No.: 10/602,494 Filed/Issue Date: June 23, 2003

Entitled: **METHODS AND NUCLEIC ACIDS FOR THE ANALYSIS OF COLORECTAL CELL PROLIFERATIVE DISORDERS**

Epigenomics AG

(Name of Assignee)

a Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ the assignee of an undivided part interest

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at
Reel [____], Frame [____], or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as shown below:

1. From: [____] To: [____]

The document was recorded in the United States Patent and Trademark Office at
Reel [____], Frame [____], or for which a copy thereof is attached.

2. From: [____] To: [____]

The document was recorded in the United States Patent and Trademark Office at
Reel [____], Frame [____], or for which a copy thereof is attached.

3. From: [____] To: [____]

The document was recorded in the United States Patent and Trademark Office at
Reel [____], Frame [____], or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE]: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6/30/03
Date

Dr. Kurt Berlin

Typed or printed name

[Signature]
Signature

Executive Vice President & CSO

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

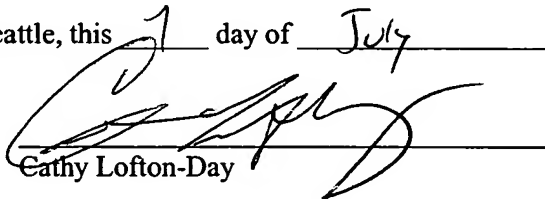
ASSIGNMENT

WHEREAS, we, Cathy Lofton-Day, residing at 23908-35th Ave. W., Brier, WA 98036, Andrew Sledziewski, residing at 17736-15th Ave. NW, Shoreline, WA 98177, Jeff Thomas, residing at 12210 NE 24th Street, Apt. 109, Bellevue, WA 98005, Robert W. Day, residing at 1872 East Hamlin Street, Seattle, WA 98112, Lori Tonnes-Priddy, residing at 1914 Grand Ave. #B, Everett, WA 98201 and Karen Cardon, residing at 17730 151st Ave. SE, Apt. E, Renton, WA 98058, (ASSIGNORS) are the inventors named in an application for a Letters Patent of the United States entitled METHODS AND NUCLEIC ACIDS FOR THE ANALYSIS OF COLORECTAL CELL PROLIFERATIVE DISORDERS, said application filed on June 23, 2003 and assigned United States Serial No. 10/602,494;

AND, WHEREAS, Epigenomics AG, a German corporation, having administrative offices at Kastanienallee 24, D-10435 Berlin, Germany (hereinafter referred to as ASSIGNEE) is desirous of acquiring the entire right, title and interest in the invention described in the said application;

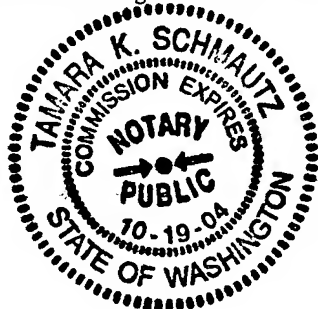
NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, we do hereby sell, assign, and transfer unto said ASSIGNEE, our entire right, title to and interest in said application and said inventions and all corresponding foreign applications and patents on said invention(s) to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by us had this sale, assignment, and transfer not been made, and we do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said invention(s), to enforce any and all patents on said invention(s), and to confirm in ASSIGNEE legal title to said invention(s) and all applications and patents on said invention(s), all without charge to ASSIGNEE but at no expense to us.

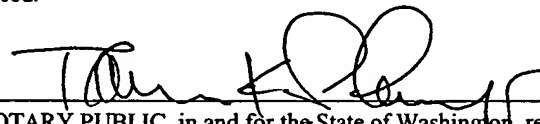
Executed in Seattle, this 7 day of July, 2003.


Cathy Lofton-Day

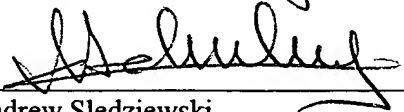
STATE OF WASHINGTON)
)
COUNTY OF KING)

On this 7 day of July, 2003, before me, a Notary Public in and for the State and County aforesaid, personally appeared Cathy Lofton-Day, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and she acknowledged the same to be her free act and deed.




NOTARY PUBLIC in and for the State of Washington, residing at
Seattle, Washington
My commission expires 10-19-04

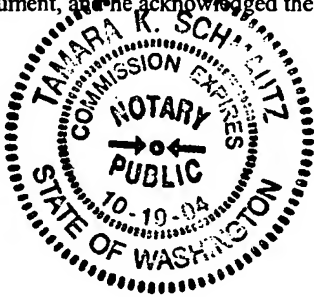
Executed in Seattle, this 30 day of June, 2003.

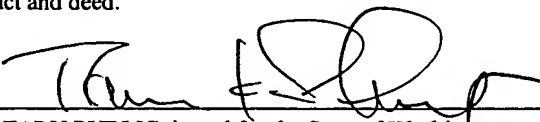


Andrew Sledziewski

STATE OF WASHINGTON)
 : ss
COUNTY OF KING)

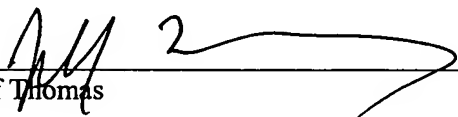
On this 30 day of June, 2003, before me, a Notary Public in and for the State and County aforesaid, personally appeared Andrew Sledziewski, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.





NOTARY PUBLIC in and for the State of Washington, residing at
Seattle, Washington
My commission expires 10-19-04

Executed in Seattle, this 30 day of June, 2003.




Jeff Thomas

STATE OF WASHINGTON)
 : ss
COUNTY OF KING)

On this 30 day of June, 2003, before me, a Notary Public in and for the State and County aforesaid, personally appeared Jeff Thomas, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.





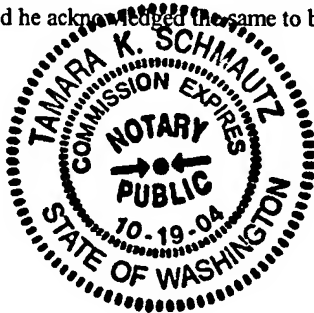
NOTARY PUBLIC in and for the State of Washington, residing at
Seattle, Washington
My commission expires 10-19-04

Executed in Seattle, this 30th day of June, 2003.

Robert W. Day
Robert W. Day

STATE OF WASHINGTON)
: ss
COUNTY OF KING)

On this 30 day of June, 2003, before me, a Notary Public in and for the State and County aforesaid, personally appeared Robert W. Day, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.



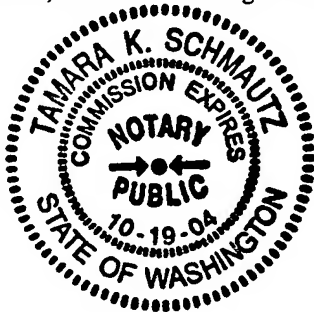
Tamara K. Schmautz
NOTARY PUBLIC in and for the State of Washington, residing at
Seattle, Washington
My commission expires 10-19-04

Executed in Seattle, this 30 day of June, 2003.

Lori Tonnes-Priddy
Lori Tonnes-Priddy

STATE OF WASHINGTON)
: ss
COUNTY OF KING)

On this 30 day of June, 2003, before me, a Notary Public in and for the State and County aforesaid, personally appeared Lori Tonnes-Priddy, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and she acknowledged the same to be her free act and deed.



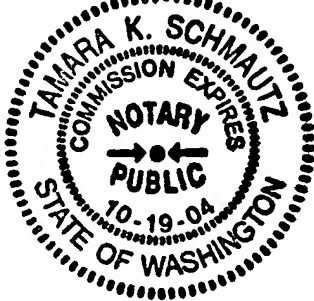
Tamara K. Schmautz
NOTARY PUBLIC in and for the State of Washington, residing at
Seattle, Washington
My commission expires 10-19-04

Executed in Seattle, this 30 day of June, 2003.

Karen Cardon
Karen Cardon

STATE OF WASHINGTON)
 : ss
COUNTY OF KING)

On this 30 day of June, 2003, before me, a Notary Public in and for the State and County aforesaid, personally appeared Karen Cardon, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and she acknowledged the same to be her free act and deed.



Tamara K. Schmautz
NOTARY PUBLIC, in and for the State of Washington, residing at
Seattle, Washington
My commission expires 10-19-04



Please type a plus sign (+) inside this box →



PTO/SB/81 (02-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	10/602,494
	Filing Date	June 23, 2003
	First Named Inventor	Cathy Lofton-Day
	Title	METHODS AND NUCLEIC ACIDS FOR THE ANALYSIS OF COLORECTAL CELL PROLIFERATIVE DISORDERS
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	47675-45

I hereby appoint:

☒ Practitioners at Davis Wright Tremaine LLP

OR

☐ Practitioner(s) named below:

Name	Registration Number

22504

PATENT TRADEMARK OFFICE

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

206-628-7621

Fax

206-628-7699

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Dr. Kurt Berlin, Executive Vice President & CSO
Signature	
Date	6/30/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

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